



PATENT  
Customer No. 22,852  
Attorney Docket No. 9136.0007-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
VANNIASINKAM, Joseph et al. ) Group Art Unit: 2883  
)  
Application No.: 10/028,419 ) Examiner: KIANNI, Kaveh C.  
)  
Filed: December 21, 2001 )  
) Confirmation No.: 3557  
For: GRATING BASED )  
MULTIPLEXER/DEMULTIPLEXER )  
COMPONENT )

**MAIL STOP RCE**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed before the mailing date of a first Office Action after the filing of a Request for Continued Examination in the above-referenced application.

Copies of the listed non-patent literature documents are attached. Copies of the U.S. patents and patent publications are not enclosed.

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or

constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

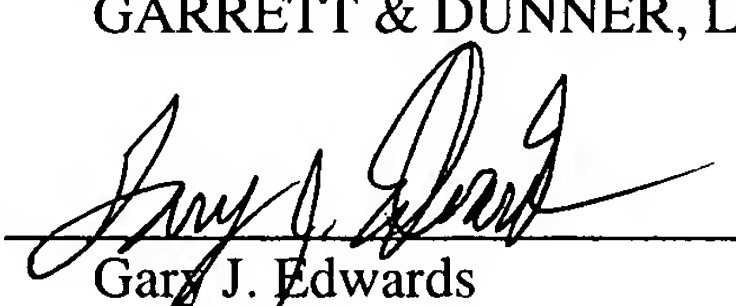
If there is any fee due in connection with the filing of this Statement, please charge the fee to Deposit Account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: February 28, 2007

By: \_\_\_\_\_

  
Gary J. Edwards  
Reg. No. 41,008

<b>EXPRESS MAIL LABEL NO.</b> <b>EV 977728727 US</b>
---

FEB 28 2007

Complete if Known

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

1

Application Number

10/028,419

Filing Date

December 21, 2001

First Named Inventor

VANNIASINKAM, Joseph

Art Unit

2883

Examiner Name

KIANNI, Kaveh C.

Attorney Docket Number

9136.0007-00

**U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS**

Examiner Initials	Cite No. <sup>1</sup>	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-2006/0244161 A1	11-02-2006	Vanniasinkam et al.	
		US-7,085,492	08-01-2006	Ibsen et al.	
		US-6,978,062	12-20-2002	Rose et al.	
		US-6,892,002	05-10-2005	Christoffersen et al.	
		US-6,081,331	06-27-2000	Teichmann	

**Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.****NONPATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>
		Response to Notice of Non-Compliant Amendment dated October 2, 2006, in U.S. Application No. 11/455,114 (Attorney Docket No. 9136.0007-01).	
		Office Action dated December 27, 2006, in U.S. Application No. 11/455,114 (Attorney Docket No. 9136.0007-01).	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**EXPRESS MAIL LABEL NO.**  
**EV 977728727 US**